

Checklist for integrating energy in the Humanitarian Programme Cycle



Preface

This checklist mainly builds on experiences with the implementation of Safe Access to Fuel and Energy (SAFE) and SAFE-related projects in Africa and Asia. It has been developed by the gFSC in order to guide the Cluster Coordination team and partners on how to integrate energy in all phases of the Humanitarian Programme Cycle. In each phase three to four key minimum steps have been suggested, which are practical to implement. Links to further resources for each minimum step are also provided for easy reference to concrete examples and guidance. However, please note that these resources are not necessarily exclusive to that particular phase and may be relevant for the entire Humanitarian Programme Cycle.

This check list allows for national clusters to use this for guidance during their particular emergency phase without having to wait for the Humanitarian Programme Cycle (HPC) to begin. The following matrixes clearly outline the essential steps that need to be undertaken in each of the HPC phases below:

- 1. Preparedness
- 2. Needs Assessment & Analysis
- 3. Strategic Planning
- 4. Response Implementation
- 5. Resource Mobilization
- 6. Monitoring and Evaluation

The Global Food Security Cluster is available to provide capacity development support to assist country clusters with the practical implementation of this checklist.

For more information please contact: info@foodsecuritycluster.net

Preparedness

Preparedness makes it possible to respond faster, more appropriately and efficiently, and to take decisions on the basis of more reliable information. Staff at all levels are likely to respond more efficiently if training, analysis, planning, prepositioning, and information collection have occurred, coordination mechanisms have been established, and simulation exercises held.

Key minimum steps	Responsibility	Further resources
1. Ensure that the fuel needs and sources	Cluster Coordinator	WFP Handbook on SAFE:
of energy for crisis-affected people is	and partners	(http://documents.wfp.org/
included in humanitarian contingency		stellent/groups/public/docu
planning documents/guidelines,		ments/newsroom/wfp2529
humanitarian policy/strategy, emergency		<u>89.pdf</u>)
protection assessments and emergency		
food security assessments.		Global Strategy for Safe
		Access to Fuel and Energy
		(SAFE): A UNHCR Strategy
		2014-2018:
		(http://www.unhcr.org/530f
		11ee6.html)
		IES/IUCNNL document:
		"Dealing with energy needs
		in humanitarian crisis
		response operations":
		(http://www.envirosecurity.
		org/fuel/Quick Scan FUEL
		project.pdf)
		Women's Refugee
		Commission report:
		http://reliefweb.int/report/
		world/beyond-firewood-
		fuel-alternatives-and-
		protection-strategies-
		displaced-women-and-girls
		Resources found on Safe
		Access to Fuel and Energy
		(SAFE) website:
		(http://www.safefuelanden
		ergy.org/resources/index.cf
		<u>m</u>)
2. Ensure the collection and analysis of	Cluster Coordinator,	WFP Handbook on SAFE
primary and secondary data at the cluster	IMO and/or reporting	(http://documents.wfp.org/
level for the establishment of a baseline	Officer and partners	stellent/groups/public/docu
for fuel needs and uses, fuel sources,		ments/newsroom/wfp2529
cooking technologies and cooking		89.pdf)
practices. Baselines should take the		
status and use of forest resources as fuel		UNHCR report on Forest

into account.		Management in Refugee and Returnee situations: (http://www.unhcr.org/438
3. Carry out a rapid stock-taking of past and ongoing fuel interventions in humanitarian settings.	Cluster Coordinator and partners	724c42.html) Project database on SAFE website: http://www.safefuelandene rgy.org/where-we-work/index.cfm
		IES/IUCNNL document: "Dealing with energy needs in humanitarian crisis response operations": (http://www.envirosecurity. org/fuel/Quick Scan FUEL project.pdf)
		Fact sheets on SAFE website: (http://www.safefuelanden ergy.org/resources/index.cf m?r=3)
4. Conduct capacity building (trainings) on Safe Access to Fuel and Energy (SAFE) to ensure a minimum level of skills available within the Cluster to carry out key activities throughout the HPC.	Cluster Coordinator and partners	USAID/OFDA toolkit: (http://www.ifrc.org/PageFiles/95759/USAID_FES_Toolkit_July_2010.pdf)
		WFP Handbook on SAFE: (http://documents.wfp.org/ stellent/groups/public/docu ments/newsroom/wfp2529 89.pdf)
		FAO SAFE Guidance Note: (http://www.fao.org/filead min/user_upload/emergenc ies/docs/Guidance%20Note %20Safe.pdf)
		UNHCR/IUCN Handbook on forest management in refugee and returnee situations: http://www.unhcr.org/438724c42.html
		UNHCR Environmental Guidelines: (http://www.unhcr.org/cgi-bin/texis/vtx/home/opendo

	cPDFViewer.html?docid=3b 03b2a04&query=unhcr)
	Global Alliance for Clean Cookstoves toolkit: (http://cleancookstoves.org /resources/223.html)
	Training section on SAFE website: (http://www.safefuelandenergy.org/resources/index.cfm?r=11)

Needs Assessments & Analysis

Assessment of humanitarian needs is the first step towards setting overall objectives for the Strategic Response Plan that takes shape during the first 30 days of a large sudden-onset emergency.

Key minimum steps	Responsibility	Further resources
1. Engage with specialized	Cluster Coordinator,	WFP Handbook on SAFE
agencies/focal points from relevant	assessment experts	(http://documents.wfp.org/
sectors, e.g. environment and natural	and/or IMO and	stellent/groups/public/docu
resources in country in the design,	partners	ments/newsroom/wfp2529
collection and analysis of fuel needs		89.pdf)
assessments.		
		OCHA guidance on needs
		assessments:
		(http://www.unocha.org/w
		hat-we-do/coordination-
		tools/needs-assessment)
		Guidance on the MIRA:
		(https://docs.unocha.org/sit
		es/dms/Documents/mira_fi
		nal_version2012.pdf)
2. Develop a common cluster	Cluster Coordinator and	WFP Handbook on SAFE:
understanding and provide analysis of	partners	(http://documents.wfp.org/
energy use, cooking practices and the		stellent/groups/public/docu
socio-cultural context associated with		ments/newsroom/wfp2529
cooking which takes into account the		<u>89.pdf</u>
different needs of men, women, boys		
and girls and their specific		IASC Gender Handbook
vulnerabilities; and with a protection		(section on Gender and
lens for Do No Harm analysis.		NFIs):
		(http://www.humanitariani
		nfo.org/iasc/documents/sub
		sidi/tf_gender/IASC%20Gen
		der%20Handbook%20(Feb%
		<u>202007).pdf)</u>

3. Ensure that the key findings around fuel needs and fuel supply are fed into the response framework and strategic planning.	Cluster Coordinator and partners	OCHA Guidance on protection: (http://www.unocha.org/what-we-do/policy/thematic-areas/protection) OCHA guidance on strategic response planning: (https://www.humanitarian response.info/programme-cycle/space/page/strategic-response-planning) Humanitarian Response Plan 2015 for Myanmar: (https://www.humanitarian
		(https://www.humanitarian response.info/system/files/ documents/files/HRP%20M yanmar_FINAL.pdf)

Strategic Planning

Strategic planning defines the response objectives and the key activities that need to be undertaken. Effective strategic planning cannot take place without due consideration of the different vulnerable groups to be targeted and their corresponding needs based on different predispositions.

Key minimum steps	Responsibility	Further resources
1. Engage with relevant	Cluster coordinator and partners	OCHA guidance on strategic
specialized agencies/focal		response planning:
points in country to		(https://www.humanitarian
provide inputs to		response.info/programme-
Strategic Planning		cycle/space/page/strategic-
(Strategic Response Plan,		response-planning)
Crisis Response Plan) so		
that its objective,		WFP Handbook on SAFE
narrative, activities and		(http://documents.wfp.org/
indicators appropriately		stellent/groups/public/docu
reflect and address the		ments/newsroom/wfp2529
multi-sectoral challenges		<u>89.pdf</u>)
associated with access,		
collection, production		FAO SAFE Guidance Note
and/or use of fuel.		(http://www.fao.org/filead
		min/user_upload/emergenc
		ies/docs/Guidance%20Note
		%20Safe.pdf)
2. Ensure that an inter-	Cluster coordinator and partners	Global Strategy for Safe
agency SAFE strategy is		Access to Fuel and Energy
developed either through		(SAFE): A UNHCR Strategy
the FS cluster or through		2014-2018

an inter-cluster thematic		(http://www.unhcr.org/530f
working group.		11ee6.html)
		Draft OCHA guidance on
		Inter-Cluster coordination:
		https://www.humanitarianr
		esponse.info/files/Draft%20
		ICC_OCHA%20Guidance_Ch
		ecklist%202013.pdf
3. Ensure that the	Cluster coordinator and partners	OCHA funding:
resources needed to		(http://www.unocha.org/ab
integrate fuel and energy		out-us/ocha-funded)
issues during a response		
are routinely		Over view of humanitarian
incorporated into project		financing:
plans and proposals		(http://www.unocha.org/w
		hat-we-do/humanitarian-
		financing/overview)

Response Implementation

The response puts into practices the objectives as defined in the Strategic Response Plan, further taking into account the coordination architecture of the response, showing how organizations, agencies and donors will cooperate with national authorities to achieve the response's objectives and how gaps in coverage or capacity will be filled.

Key minimum steps	Responsibility	Further resources
1. Develop a common set of vulnerability	Cluster Coordinator	WFP Handbook on SAFE
criteria for selection of beneficiaries (taking	and partners	(http://documents.wfp.org/
into account assessment findings) for fuel-		stellent/groups/public/docu
and stove-related interventions. Encourage		ments/newsroom/wfp2529
partners to make criteria and beneficiary		89.pdf)
selection processes public where possible.		
		Food Security and
These criteria should be as consistent as		Vulnerability Analysis
possible with the vulnerability criteria		guidelines:
developed by other clusters.		(http://documents.wfp.org/
		stellent/groups/public/docu
		ments/manual_guide_proce
		<u>d/wfp203208.pdf</u>)
2. Engage and collaborate with research	Cluster Coordinator	Moving Energy Initiative:
institutions at country or regional level for	and partners	(http://www.chathamhouse
the purpose of identifying appropriate and		.org/about/structure/eer-
innovative technologies and approaches		department/moving-energy-
for responding to fuel needs.		<u>initiative-project</u>)
3. Promote safe distribution and inclusive	Cluster Coordinator	WFP Handbook on SAFE
delivery mechanisms of fuels, stoves and	and partners	(http://documents.wfp.org/
appropriate energy technologies. Promote		stellent/groups/public/docu
modalities that take into account feedback		ments/newsroom/wfp2529
mechanisms, rights/entitlements of		89.pdf)

beneficiaries, training of beneficiaries and code of conduct.		IASC Decision Tree Diagrams: (http://sheltercentre.org/lib rary/iasc-task-force-safe- decision-tree-diagram)
		Guidance on Accountability to Affected Populations: (http://www.fao.org/emerg encies/resources/document s/resources-detail/en/c/175109/)
4. Develop common messaging protocol and common cluster messaging on the implementation of fuel-related interventions for sharing with affected communities and common cluster feedback processes to inform partners' programmes.	Cluster Coordinator and partners	WFP Handbook on SAFE (http://documents.wfp.org/ stellent/groups/public/docu ments/newsroom/wfp2529 89.pdf)

Resource Mobilization

Resource Mobilization is essential to be able to effectively implement any humanitarian response.

Key minimum steps	Responsibility	Further resources
1. Advocate/highlight to donors the multi-sectoral challenges associated with the collection, production and use of fuel and the need to implement fuel-related activities as a life-saving intervention.	Cluster Coordinator and partners	WFP Handbook on SAFE (http://documents.wfp.org/ stellent/groups/public/docu ments/newsroom/wfp2529 89.pdf)
2. Encourage the cluster to commit adequate resources for the deployment of staff with the necessary mandate and skills to develop and support the implementation of fuel-related activities as well as to institute and improve response quality.	Cluster Coordinator and partners	OCHA funding: (http://www.unocha.org/about-us/ocha-funded) Guidance on appeals and funding: (http://foodsecuritycluster.net/tools-and-guidance/term/71)
3. Encourage partners to incorporate budgets for SAFE activities.	Cluster Coordinator and partners	WFP Handbook on SAFE (http://documents.wfp.org/ stellent/groups/public/docu ments/newsroom/wfp2529 89.pdf)

Monitoring & Evaluation

Humanitarian response monitoring is a continuous process and the frequency of data collection and reporting will vary at different stages of an emergency. All humanitarian actors involved in the response should constantly monitor the quality of the response and report failures of problems of delivery.

Key minimum steps	Responsibility	Further resources
1. Ensure that quantitative and qualitative post-distribution monitoring tools are developed.	Cluster Coordinator and partners	WFP Handbook on SAFE (http://documents.wfp.org/ stellent/groups/public/docu ments/newsroom/wfp2529 89.pdf) USAID/OFDA toolkit: (http://www.ifrc.org/PageFiles/95759/USAID FES Toolkit July 2010.pdf)
		Guidance on MIRA: (https://docs.unocha.org/sit es/dms/Documents/mira_fi nal_version2012.pdf)
2. Conduct a qualitative analysis to see how the commitments related to SAFE made by the Cluster, especially specific activities in the SRP, and the associated tools provided were operationalized by partners and how that communities were affected by it.	Cluster Coordinator and partners	OCHA Evaluation reports: (http://www.unocha.org/what-we-do/policy/thematicareas/evaluations-of-humanitarian-response/reports)
3. Ensure the key tools, data, findings, lessons learned and good practices are shared with gFSC for further dissemination and integration within its work. The cluster should be encouraged to support independent evaluations of the effectiveness and impact of fuel interventions, especially concerning the technologies applied. Such evaluations could help design more effective projects.	Cluster Coordinator and partners	Project reports and evaluations on the SAFE website: (http://www.safefuelanden ergy.org/resources/index.cf m?r=14)

Inter-Cluster Coordination

Inter sector Key minimum steps	Responsibility	Further resources
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Calandination	4. Davidan lundata a cost d	Claster	IACC Matrix on Assume Delegand
Coordination	1. Develop/update a matrix	Cluster	IASC Matrix on Agency Roles and
with other	of roles and responsibilities	Coordinator	Responsibilites for Ensuring a
clusters	for fuel-related	and partners	Coordinated,
	interventions across		Multi-Sectoral Fuel Strategy in
	various response sectors.		Humanitarian Settings
	·		(http://postconflict.unep.ch/huma
	2. Engage with the Shelter-		nitarianaction/documents/02 02-
	NFI Cluster to coordinate		04 02-03.pdf)
	on logistical aspects of fuel		<u> </u>
	and Fuel-Efficient Stove		
	(FES) provision and/or local		IASC Cuidanas an Inter Cluster
	1		IASC Guidance on Inter-Cluster
	production in acute		Coordination
	emergencies.		(http://www.earlyrecovery.info/w
			<u>p-</u>
	3. Collaborate with the		content/uploads/2013/12/Strategi
	Protection Cluster on		c-Review-Piero-Calvi.pdf)
	assessments of protection		,
	risks associated with the		
	collection of firewood in		
	areas of wood scarcity and		
	tension with host		
	communities.		